1	SURGICAL CLIPS PARTICULARLY USEFUL IN THE ENDOLUMINAL TREATMENT OF
2	GASTROESOPHAGEAL REFLUX DISEASE (GERD)
3	
4	This application is related to co-owned application Serial
5	Number/, filed simultaneously herewith, entitled
6	"Flexible Surgical Clip Applier", (Docket #SYN-039A) the complete
7	disclosure of which is hereby incorporated by reference herein.
8	discrepance of which is hereby incorporated by reference herein.
	Mhig amaligation is a second s
_ .9	This application is a continuation-in-part of application
10 11	Serial Number 09/931,528, filed August 16, 2001, entitled "Methods
<u>.</u> 1	and Apparatus for Delivering a Medical Instrument Over an
†2 †3	Endoscope while the Endoscope is in a Body Lumen", the complete
13	disclosure of which is hereby incorporated by reference herein.
† 4	
15	This application is also a continuation in which
U 1	This application is also a continuation-in-part of
16	application Serial Number 09/891,775, filed June 25, 2001,
17	entitled "Surgical Clip", the complete disclosure of which is
18	hereby incorporated by reference herein.
19	
20	This application also claims the benefit of provisional
21	application Serial Number 60/292,419, filed May 21, 2001, entitled
22	"Methods and Apparatus for On-Endoscope Instruments
23	Having End Effectors and Combinations of On-Endoscope
24	and Through-Endoscope Instruments".

1 This application is also a continuation-in-part of

- application Serial Number 09/730,911, filed December 6, 2000, 2
- entitled "Methods and Apparatus for the Treatment of Gastric 3
- Ulcers", the complete disclosure of which is hereby incorporated 4
- 5 by reference herein.

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BACKGROUND OF THE INVENTION

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1. Field of the Invention

The invention relates to endoscopic surgical procedures and instruments. More particularly, the invention relates to surgical clips which are particularly useful in the transoral invagination and fundoplication of the stomach to the esophagus.

2. State of the Art

Gastroesophageal fundoplication is a procedure for the 17 treatment of gastroesophageal reflux disease (GERD), a condition 18 in which gastric acids are regurgitated into the esophagus resulting in one or more of esophagitis, intractable vomiting, 19 asthma, and aspiration pneumonia. The fundoplication procedure 20 21 involves wrapping the fundus of the stomach around the lower end 22 of the esophagus and fastening it in place. Traditionally, this 23 procedure is accomplished via open surgery with the use of sutures to secure the plicated fundus of the stomach around the esophagus 24 without penetrating (incising) the stomach. Although traditional 25

1 fundoplication involves plicating the fundus and the esophagus, as

2 used herein the term includes plicating the fundus to itself near

3 the esophagus.

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5 U.S. Patent Number 5,403,326 to Harrison et al. discloses a

6 method of performing endoscopic fundoplication using surgical

7 staples or two-part surgical fasteners. The procedure disclosed

8 by Harrison et al. involves performing two percutaneous endoscopic

gastrotomies (incisions through the skin into the stomach) and the

installation of two ports through which a stapler, an endoscope,

and an esophageal manipulator (invagination device) are inserted.

Under view of the endoscope, the esophageal manipulator is used to

pull the interior of the esophagus into the stomach.

esophagus is in position, with the fundus of the stomach plicated,

the stapler is moved into position around the lower end of the

esophagus and the plicated fundus is stapled to the esophagus.

The process is repeated at different axial and rotary positions

18 until the desired fundoplication is achieved. While, the

19 procedure disclosed by Harrison et al. is a vast improvement over

20 open surgery, it is still relatively invasive requiring two

21 incisions through the stomach.

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23 U.S. Patent Number 5,571,116 to Bolanos et al. discloses a

24 non-invasive treatment of gastroesophageal reflux disease which

25 utilizes a remotely operable invagination device and a remotely 1 operable surgical stapler, both of which are inserted transorally

2 through the esophagus. According to the methods disclosed by

3 Bolanos et al., the invagination device is inserted first and is

4 used to clamp the gastroesophageal junction. The device is then

5 moved distally, pulling the clamped gastroesophageal junction into

6 the stomach, thereby invaginating the junction and involuting the

7 surrounding fundic wall. The stapler is then inserted transorally

8 and delivered to the invaginated junction where it is used to9 staple the fundic wall.

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Bolanos et al. disclose several different invagination devices and several different staplers. Generally, each of the staplers disclosed by Bolanos et al. has an elongate body and a spring biased anvil which is rotatable approximately 15 degrees away from the body in order to locate the invaginated gastroesophageal junction between the body and the anvil. The body contains a staple cartridge holding a plurality of staples, and a staple firing knife. Each of the invagination devices disclosed by Bolanos et al. has a jaw member which is rotatable at least 45 degrees and in some cases more than 90 degrees to an open position for grasping the gastroesophageal junction. One of the chief disadvantages of the methods and apparatus disclosed by Bolanos et al. is that the stapler and the invagination device must both be present in the esophagus at the same time. With some of the embodiments disclosed, the presence of both instruments is

1 significantly challenged by the size of the esophagus. In

2 addition, the actuating mechanism of the device disclosed by

3 Bolanos et al. is awkward. In particular, the stapler anvil is

4 biased to the open position, and it is not clear whether or not

5 the stapler anvil can be locked in a closed position without

6 continuously holding down a lever. In addition, it appears that

7 the staple firing trigger can be inadvertently operated before the

8 anvil is in the closed position. This would result in inadvertent

ejection of staples into the stomach or the esophagus of the

patient.

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U.S. Patent Number 6,086,600 to Kortenbach discloses an endoscopic surgical instrument including a flexible tube, a grasping and fastening end effector coupled to the distal end of the tube, and a manual actuator coupled to the proximal end of the tube. The manual actuator is coupled to the end effector by a plurality of flexible cables which extend through the tube. The tube contains a lumen for receiving a manipulable endoscope and the end effector includes a passage for the distal end of the endoscope. The end effector has a store for a plurality of male fastener parts, a store for a plurality of female fastener parts, a rotatable grasper, a rotatable fastener head for aligning a female fastener part and a male fastener part with tissues therebetween, and a firing member for pressing a male fastener part through tissues grasped by the grasper and into a female

1 fastener part. According to a stated preferred embodiment, the 2 overall diameters of the flexible tube and the end effector (when 3 rotated to the open position) do not exceed approximately 20 mm so 4 that the instrument may be delivered transorally to the fundus of 5 the stomach. 6 7 While transoral invagination and fundoplication apparatus and 8 procedures have improved over the years, it is still difficult to 9 deliver and manipulate the necessary apparatus transorally. The 110 111 112 113 primary reason for the difficulty is that the overall diameter, or more accurately the cross sectional area, of the equipment is too large. Notwithstanding Kortenbach's reference to 20mm, most of the equipment in use today is at least 24mm in diameter. 14 Moreover, even if the equipment could be reduced to 20mm in 15 16 diameter (314 mm² cross sectional area), it would still be difficult to manipulate. Those skilled in the art will appreciate 17 that larger instruments are less pliable and that the invagination 18 and fundoplication procedure requires that the instruments turn 19 nearly 180 degrees. Moreover, it will be appreciated that large

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Still other issues which need to be addressed in this
procedure include the need to suitably grasp the fundus before
plication so that all layers of the fundus are plicated.

instruments obscure the endoscopic view of the surgical site.

1 Preferably, plication damages the fundus so that adhesion occurs 2 during healing. 3 4 Co-owned Technology 5 Previously incorporated application Serial Number 09/730,911, 6 filed December 6, 2000, entitled "Methods and Apparatus for the 7 Treatment of Gastric Ulcers", discloses a surgical tool which is 8 delivered to a surgical site over an endoscope rather than through 9 the working lumen of an endoscope. 110 11 12 13 Co-owned provisional application Serial Number 60/292,419, filed May 21, 2001, entitled "Methods and Apparatus for On-Endoscope Instruments Having End Effectors and Combinations of On-14 Endoscope and Through-Endoscope Instruments", discloses many tools 15 and procedures including an on-scope grasper assembly having 16 grasping jaws, and a through-scope clip applier having jaws H17 adapted to close about tissue and apply a clip over and/or through 18 the tissue. In operation, the grasper jaws may grab and hold 19 tissue, e.g., the fundus of the stomach or esophageal tissue, 20 while the jaws of the clip applier surround a portion of the 21 tissue held by the grasper jaws and apply a clip thereover. 22 23 Previously incorporated application Serial Number 09/891,775,

filed June 25, 2001, entitled "Surgical Clip", discloses a

surgical clip having a U-shaped configuration with first and

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1 second arms, and a bridge portion therebetween. The first arm is 2 provided with a tip preferably having a catch, and the second arm 3 extends into a deformable retainer having a tissue-piercing end 4 and preferably also a hook. During application, tissue is 5 clamped, and the clip is forced over the clamped tissue and the 6 retainer of the second arm is bent and may be pierced through the 7 The retainer is toward and around or adjacent the tip of 8 the first arm preferably until the hook is engaged about the catch 9 to secure the clip to the tissue and prevent the clip and tissue **10** from separating. The clip is provided with structure that □ ⊒11 facilitates the stacking of a plurality of clips in a clip chamber 12 of a clip applier. 13 14 Previously incorporated application Serial Number 09/931,528, 15 16 filed August 16, 2001, entitled "Methods and Apparatus for Delivering a Medical Instrument Over an Endoscope while the -17 Endoscope is in a Body Lumen", discloses methods and apparatus for 18 delivering a medical instrument over the exterior of an endoscope 19 while the endoscope is installed in the patient's body in order to 20 allow the use of instruments which are too large to fit through 21 the lumina of an endoscope. 22 23 The previously incorporated simultaneously filed application 24 entitled "Flexible Surgical Clip Applier", discloses a surgical

clip applier having a pair of clip applying jaws at the distal end

1 of an outer coil, a set of pull wires extending through the outer 2 coil and coupled to the jaws, and a push wire extending through 3 the outer coil. A clip chamber is provided in the distal end of 4 the coil. A clip pusher is provided at a distal end of the push 5 wire, and adapted to advance a clip into the jaws. 6 include clamping surfaces which operate to compress tissue between 7 the jaws when the jaws are closed, channels in which a distalmost 8 clip rides when the jaws are closed and the pusher is advanced 9 thereby causing the distalmost clip to be pushed over the tissue, 10 11 and distal anvil portions which operate to bend a portion of the distalmost clip to facilitate its retention on the clamped tissue. The clip applier is capable of providing a pushing force far in F 113 excess of a perceived possible maximum of the 200 grams (0.44 lbs) **414** published in the art. One embodiment of the device of the <u>1</u>15 invention provides a pushing force in excess of 2267 grams (5 lbs).

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SUMMARY OF THE INVENTION

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It is therefore an object of the invention to provide methods and apparatus for transoral invagination and fundoplication.

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It is also an object of the invention to provide an apparatus for transoral invagination and fundoplication which is easy to manipulate.

1 It is another object of the invention to provide an apparatus 2 for transoral invagination and fundoplication which has a 3 relatively small cross-sectional area.

It is still another object of the invention to provide methods and apparatus for fundoplication which combine the relative advantages of staples and two-part fasteners, i.e. the small size of a staple and the greater integrity of a two-part fastener.

It is yet another object of the invention to provide methods and apparatus for transoral invagination and fundoplication which damages tissue such that adhesion occurs during healing.

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In accord with these objects which will be discussed in detail below, the methods of the invention include delivering a grasper, a clip applier, and an endoscope transorally to the site of fundoplication; grasping the fundus with the grasper (or similar device, e.g. corkscrew) and pulling it into the jaws of the clip applier; closing the jaws of the clip applier over the fundus and applying a clip to the fundus. The method is repeated at different locations until the desired fundoplication is achieved. The apparatus of the invention includes a clip applier having sharp toothed jaws for grasping and damaging the fundus prior to applying the clip. The clip applier has an overall

1 diameter of less than 7mm and may be delivered through a 7mm

- 2 sleeve which attaches to a 12mm endoscope having a lumen through
- 3 which the grasper is delivered. The overall cross-sectional area
- 4 of the apparatus is therefore approximately 152 mm² as compared to
- 5 the 314mm² of the prior art devices. Alternatively, the clip
- 6 applier and the grasper may be delivered through an endoscope
- 7 having two 6mm lumina.

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According to a presently preferred embodiment, the clip applier jaws are coupled to a pull wire via a linkage which increases the mechanical advantage and thus permits greater grasping force.

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A plurality of clip designs are provided. Some embodiments include a pair of arms coupled by a bridge and a single locking retainer. Other embodiments include dual parallel coiled retainers. According to one embodiment, the clip has two detachable retainers which are installed in the fundus and the clip arms and bridge are removed.

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Additional objects and advantages of the invention will become apparent to those skilled in the art upon reference to the detailed description taken in conjunction with the provided figures.

1	BRIEF DESCRIPTION OF THE DRAWINGS
2	
3	Figure 1 is a side elevational view of a clip applier
4	according to the invention;
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6	Figure 2 is a side elevational view of a first embodiment of
7	the distal end of the clip applier with the jaws in the closed
8	position;
4 9	
10	Figure 3 is a side elevational view of a first embodiment of
C1 1 1	the distal end of the clip applier with the jaws in the open
112 13	position;
# 13	
14	Figure 4 is a broken isometric view of a first embodiment of
15 16	the distal end of the clip applier with one jaw removed;
16	
17	Figure 5 is a broken isometric view of a second embodiment of
18	the distal end of the clip applier with a clip of the type shown
19	in Figures 19 and 20;
20	
21	Figure 6 is an isometric view of a single jaw of the second
22	embodiment of the distal end of the clip applier;
23	
24	Figure 7 is a proximal end view of the jaw of Figure 6;
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1	Figure 8 is a proximal end view of the two jaws of a second
2	embodiment of the distal end of the clip applier in the closed
3	position with the lower jaw shaded for clarity;
4	
5	Figure 9 is a broken isometric view of a third embodiment of
6	the distal end of the clip applier suitable for use with a clip of
7	the type shown in Figures 17 and 18 or 24;
8	
9	Figures 10-14 are schematic views illustrating a method
10	according to the invention;
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112	Figure 15 is a diagram illustrating the comparative cross-
13	section of the instruments used in the method illustrated in
14	Figures 5-10 and a typical prior art instrument;
□15 □16	
16	Figure 16 is a cross-sectional view of a dual lumen endoscope
17	which can be used in performing the methods of the invention;
18	
19	Figure 17 is a side elevational view of a first embodiment of
20	a clip according to the invention prior to application;
21	
22	Figure 18 is a side elevational view of the clip of Figure 17
23	after application;
24	

1	Figure 19 is a side elevational view of a second embodiment
2	of a clip according to the invention prior to application;
3	
4	Figure 20 is a side elevational view of the clip of Figure 19
5	after application;
6	
7	Figure 21 is a side elevational view of a third embodiment of
8	a clip according to the invention prior to assembly;
9	
10	Figure 22 is a side elevational view of the clip of Figure 21
11	assembled prior to application;
12	
13	Figure 23 is a side elevational view of the applied portion
14	of the clip of Figures 17 and 18; and
Q 1 5	
	Figure 24 is a view similar to Figure 23 of an alternate
17	third embodiment of the applied portion of a clip according to the
18	invention.

DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS

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3 Referring now to Figure 1, a clip applier 10 according to the 4 invention generally includes a flexible wound outer coil 12 having 5 a proximal end 14 and a distal end 16. An end effector assembly 6 18 is coupled to the distal end 16 of the coil 12 and an actuator 7 assembly 20 is coupled to the proximal end 14 of the coil 12. A 8 plurality of pull/push wires 58, 60 (shown and described below with reference to Figures 2-4) extend through the coil 12 and 10 11 couple the end effector assembly 18 to the actuator assembly 20. The clip applier 10 is similar to the clip applier described in 112 detail in previously incorporated co-owned application Serial ‡ 13 Number --/--, entitled "Flexible Surgical Clip Applier", 14 filed simultaneously herewith. However, in this application, the <u>1</u>5 end effector assembly 18 is designed specifically for 16 fundoplication using a clip significantly larger than that used in 17 the clip applier of the aforesaid co-owned application.

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Figures 2-4 illustrate the details of the end effector assembly 18 according to a first embodiment of the invention. The end effector assembly 18 includes a pair of jaws 22, 24 which are rotatably coupled to a clevis 26. In particular, the clevis 26 has a central channel 28 (seen best in Figure 4) which is defined by clevis arms 30, 32. Although the term "clevis" is used because of its general acceptance in the art of endoscopic instruments,

- 1 the "clevis" 26 is preferably covered on top and bottom so that
- 2 the only exit from the channel 28 is at the distal end. The jaw
- 3 22 is rotatably coupled to the clevis arm 30 by an axle 34 and the
- 4 jaw 24 is rotatably coupled to the clevis arm 32 by an axle 36.
- 5 The axles 34 and 36 are dimensioned such that they do not
- 6 significantly obscure the channel 28.

below with reference to Figures 19-24.

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8 The jaws 22, 24 are substantially identical. Each jaw 22, 24 9 includes a proximal tang 38, 40, a mounting bore 42, 44, a distal **10** hook shaped anvil 46, 48 and a plurality of medial teeth 50, 52. <u>1</u>11 As seen best in Figure 4, the medial teeth 50, 52 are arranged on 12 one side of the jaw and a short wall 51, 53 is arranged on the 13 opposite side of the jaw to define a groove (or guiding channel) 14 The grooves 54, 56 meet the anvils 46, 48 each of which **5** which has a helical surface. The interior (proximal) helical **1**6 surfaces of the anvils act to bend the clip retainers as described

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The proximal tang 38, 40 of each jaw is coupled to a
respective pull/push wire 58, 60 via two links 62, 64 and 66, 68.

The links 62, 66 are substantially L-shaped and are rotatably
coupled near their elbow to the clevis arms 30, 32 by axles 70, 72
which do not significantly obscure the channel 28 between the
clevis arms. One end of the link 62, 66 is coupled to the
pull/push wire 58, 60 and the other end of the link 62, 66 is

1 rotatably coupled to one end of the link 64, 68. The other end of

2 the link 64, 68 is rotatably coupled to the tang 38, 40. The

3 combined coupling of each jaw 22, 24 to each pull/push wire 58, 60

4 forms a linkage which amplifies the force from the pull/push wires

5 to the jaws. In particular, as the jaws close, the mechanical

6 advantage increases.

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The proximal ends of the pull/push wires 58, 60 are coupled to the actuator assembly (20 in Figure 1) as described in previously incorporated co-owned application Serial Number --/---, entitled "Flexible Surgical Clip Applier", filed simultaneously herewith.

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A clip pusher (not shown) disposed in the interior of the coil is coupled to a push wire (not shown) which is coupled to the actuator assembly as described in previously incorporated co-owned application Serial Number --/---, entitled "Flexible Surgical Clip Applier", filed simultaneously herewith. Unlike the previously incorporated co-owned application, the jaws of the instant clip applier are significantly longer and designed for use with clips approximately 17-20mm long (after the clip is applied) as compared to the 5-7mm clips shown in the previously

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incorporated co-owned application.

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           Turning now to Figures 5-8, a second embodiment of the jaws
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      22', 24' is illustrated. The jaws 22', 24' are substantially
  3
      identical to each other and are designed for use with any of the
  4
      clips illustrated in Figures 19-24. Each jaw 22', 24' includes a
  5
      proximal tang 38', 40', a mounting bore 42', 44', a distal hook
  6
      shaped anvil 46', 48' and a plurality of medial teeth 50', 52'.
  7
      The medial teeth 50', 52' are arranged on one side of the jaw and
  8
      a short wall 51', 53' is arranged on the opposite side of the jaw
4 9
      to define a groove (or guiding channel) 54', 56'. The grooves
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      54', 56' meet the interior surfaces of the anvils 46', 48' which
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      curve about a single axis. The interior surfaces of the anvils
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      act to bend the clip retainers as described below with reference
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      to Figures 19-24 and as shown by the clip 310 in Figure 5.
14
      According to this embodiment, as seen best in Figures 6-8, the
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      guiding channels 54', 56' and the anvils 46', 48' are angled
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      relative to the vertical axis of the jaw 22', 24'. This angle
 17
      causes the clip to twist as it is pushed through the jaws so that
 18
      the ends of the clip are offset as shown in Figure 5, for example.
 19
      According to the presently preferred embodiment, the guiding
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      channels 54', 56' and the anvils 46', 48' are angled approximately
 21
      22° relative to the vertical axis of the jaw 22', 24'. According
 22
      to a method of the invention, clips for use with this embodiment
 23
      of the jaws are prebent in the bridge area to facilitate movement
 24
      through the angled channels.
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illustrated in Figures 17-18. Each jaw 22", 24" includes a
   5
      proximal tang 38", 40" and a mounting bore 42", 44". One jaw 22"
   6
       terminates with two spaced apart distal hooks 46", 47" and has two
   7
       rows of medial teeth 50". The other jaw 24" terminates with a
   8
       single distal hook shaped anvil 48" and has two rows of medial
  9
       teeth 52". The medial teeth 50", 52" are arranged on both sides
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☐ 10
       of the jaw and a groove (or guiding channel) 54", 56" lies between
11 12 13
       the rows of teeth. The groove 54" terminates with an undercut
       well (not shown) as described in co-owned Serial Number --/--,--,
       whereas the groove 56" continues on to the interior of the anvil
14
       48" which has a surface which curves about a single axis.
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       skilled in the art will appreciate that when the jaws are closed,
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       the anvil 48" will reside between the hooks 46" and 47" and the
 17
       teeth 50" will be interleaved with the teeth 52". The interior
  18
       surface of the anvil 48" bends the clip retainer as described
  19
       below with reference to Figures 17-18 and as shown and described
 20
       in previously incorporated co-owned applications Serial Number
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Turning now to Figures 10-14, a method of using the clip applier of the invention is illustrated in context with an existing endoscope 100 having a single lumen through which a small

09/891,775, and Serial Number --/---.

Referring now to Figure 9, a third embodiment of the jaws

22", 24" is illustrated. The jaws 22", 24" are not identical to

each other and are designed for use with clips of the type

1 grasper 102 is supplied and an external working channel 104 which

- 2 is attached to the scope 100 and through which the clip applier is
- 3 delivered. The external working channel 104 is preferably one of
- 4 the type described in previously incorporated application Serial
- 5 Number 09/931,528, filed August 16, 2001, entitled "Methods and
- 6 Apparatus for Delivering a Medical Instrument Over an Endoscope
- 7 while the Endoscope is in a Body Lumen".

discussed in detail hereinafter.

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According to a method of the invention, after the endoscope assembly is delivered transorally to the procedural site, as shown in Figure 10, the fundus is grasped by the graspers and pulled in between the open jaws of the clip applier. The jaws of the clip applier are then closed onto the invaginated fundus as shown in Figure 11. As the jaws are closed the medial teeth of the jaws puncture the invaginated fundus as shown in Figures 11 and 12. When the jaws are completely closed (or closed as much as possible), they are preferably locked, the grasper is optionally released, and the clip pusher is activated to push forward a clip 106 as shown in Figure 12 and as described in the previously

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23 After the clip 106 is applied, the jaws of the clip applier 24 are opened as shown in Figure 13 and the clip 106 remains in place 25 and plicates the fundus. Depending on the location of the clip

incorporated, co-owned, simultaneously filed application and

1 and the nature of the patient's condition, a single clip may be

2 sufficient. If other clips are deemed desirable by the

3 practitioner, the clip applier is removed and re-loaded with

4 another clip. After re-delivering the clip applier, the procedure

5 may be repeated at another location as shown in Figure 14. Given

6 the size of the clips of the invention, anywhere from 1-4 clips

7 will typically be used.

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According to one aspect of the invention, the medial teeth on the jaws of the clip applier are long enough and sharp enough to damage the fundus sufficiently such that when the fundus heals adhesion occurs, binding the plicated fundus to the extent that the clip may no longer be needed. Thus, preferably, the teeth are long enough to pierce all layers of the fundus.

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From the foregoing, those skilled in the art will appreciate that the methods of the invention may be performed with different types of graspers. In particular, alternative grasping devices such as a "cork screw" grasper can be used in conjunction with the clip applier of the invention to perform the methods of the invention.

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It will also be appreciated that the clip applier of the invention may be attached to an endoscope in other ways as described in previously incorporated application Serial Number

1 09/931,528, filed August 16, 2001, entitled "Methods and Apparatus 2 for Delivering a Medical Instrument Over an Endoscope while the 3 Endoscope is in a Body Lumen". 4 5 As mentioned above, the clip applier of the invention has an 6 outside diameter of approximately 6mm. As shown in Figures 10-14, 7 the clip applier is used in conjunction with an endoscope having 8 an outside diameter of approximately 12mm. To accommodate the _{|-4} 9 clip applier, an exterior working channel having an exterior 10 11 11 diameter of approximately 7mm is optionally coupled to the endoscope as described in the previously incorporated co-owned applications Serial Numbers 09/931,528 and 60/292,419. 13 -14 Figure 15 is a scale representation of the cross-sectional □15 area of the 12mm endoscope 100 with the attached external 7mm ୁ 16 working channel 104, shown in horizontal shading. 17 sectional area of a prior art device 108 having an exterior 18 diameter of approximately 24mm is shown in diagonal shading. 19 Figure T5, it will be appreciated that the methods and apparatus 20 of the invention allow for a substantially smaller device which is 21 more easily delivered transorally and which is more easily 22 manipulated. The overall cross-sectional area of the apparatus of

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the prior art devices.

the invention is approximately 152 mm² as compared to the 314mm² of

As mentioned, the clip applier of the invention may also be used with a dual lumen endoscope. Figure 16 is a scale representation of a dual lumen endoscope 110 having an optical lumen 112 and two 6mm working lumina 114, 116. As compared to the device 108 in Figure 15, the endoscope 110 has a substantially smaller cross-sectional area than the prior art device.

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The clips used by the clip applier of the invention are substantially longer than the clips described in the previously incorporated co-owned applications, Serial Number 09/891,775 and the simultaneously filed application, which are approximately 7mm in length and adequate for general surgical applications. The retainer portion of the clips of the present invention are substantially longer in order to assure that all of the layers of the fundus are punctured.

Turning now to Figures 17 and 18, a first embodiment of a surgical clip 210 according to the invention includes first and second arms 212, 214, respectively, and a bridge portion 216 therebetween such that the arms and bridge portion are in a generally U-shaped configuration. The first arm 12 is provided with an end catch 220, and the second arm 214 extends (or transitions) into a deformable retainer 222 having a tissue piercing tip 224 and a plurality of catch engagements, e.g. 226, 228. The arms define an open space 230 between them. The clip

- 1 210 is preferably made from a unitary piece of titanium, titanium
- 2 alloy, stainless steel, tantalum, platinum, other high Z
- 3 (substantially radiopaque) materials, nickel-titanium alloy,
- 4 martensitic alloy, or plastic, although other suitable
- 5 biocompatible materials may be used. The first and second arms
- 6 212, 214, as well as the bridge portion 216 are relatively stiff
- 7 and not plastically deformable within the limits of force applied
- 8 to the arms during use, while the retainer 222 is relatively
- 9 easily plastically deformable by the clip applier.

- 111 Referring now to Figures 2-4 and 17-18, when the clip 210 is
 - pushed forward in the clip applier with the jaws 22, 24 of the
- [®]13 clip applier closed, the retainer 222 is bent across the opening
- 14 230 between the first and second arms 212, 214 and into engagement
- **1**5 with the end catch 220 of the first arm 212 as shown in Figure 18.
- **1**16 The anvil formed by the grooves on the interior of the hooks 46,
 - 17 48 of the clip applier jaws guide the bending of the retainer 222
 - 18 causing it to puncture the fundus and couple to the end catch 220.

- 20 The clip 210 shown in Figures 17 and 18 is provided with an
- 21 optional bendable barb 232 which provides a secondary stabilizing
- 22 fixation point which helps keep the clip from rotating. As the
- 23 clip is pushed forward over the fundus, tissue catches the barb
- 24 232 and bends it as shown in Figure 18.

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be considered redundant.

1 The clip 210 is also provided with an ear 233 on the bridge 2 The ear is used by the pushing mechanism (not shown) to 3 grasp the end of the clip when it is loaded into the clip applier. A second embodiment of a clip 310 according to the invention is shown in Figures 19 and 20. The clip 310 has two arms 312, 314 connected by a bridge 316. Both arms terminate in retainers 320, 322, each having a sharp end 321, 323. The clip 310 is also provided with a pair of ears 333, 335 on the bridge 316. The ears are used by the pushing mechanism (not shown) to grasp the end of the clip when it is loaded into the clip applier. This embodiment is intended for use with a clip applier having hooks with interior grooves which diverge, or which are in parallel planes. reference to Figures 2-4 and 15-16, when the clip 310 is pushed forward, the retainer 320 is bent by the groove inside the hook 46 and the retainer 322 is bent by the groove inside the hook 48 to the configuration shown in Figure 20. From Figure 20, it will be 18 appreciated that each retainer punctures the fundus twice 19 substantially forming a circular fastener. Thus, it will also be 20 appreciated that the retainers 320, 322 are significantly longer 21 than the retainer 222 shown in Figures 17 and 18 and preferably 22 are of a length at least π times the distance between the arms 23 312, 314. Insofar as the retainers 320, 322 each form a complete 24 fastener, the function of the arms 312, 314 and the bridge 316 may

1 Figures 21-23 illustrate a third embodiment of a clip 410 2 according to the invention. The clip 410 is similar to the clip 3 310 (with similar reference numerals increased by 100 referring to 4 similar parts) except that the retainers 420, 422 are removable 5 from the arms 412, 414. The arms 412, 414 terminate in female 6 couplings 413, 415 which receive ends of the retainers 420, 422 in 7 a slight interference fit. The clip 410 is also provided with a 8 pair of ears 433, 435 on the bridge 416. The ears are used by the 9 pushing mechanism (not shown) to grasp the end of the clip when it is loaded into the clip applier. The clip 410 is applied to the fundus in substantially the same way as described above with reference to the clip 310. However, after the retainers 420, 422 are bent by the anvils and the jaws are opened, the clip 410 is not released from the clip applier and the retainers are separated from the arms 412, 414. The resulting fastener formed by the retainers 420, 422 is shown in Figure 23. This is actually two 17 substantially parallel "b" shaped fasteners. Thus, it may only be 18 necessary to apply a single retainer as shown in Figure 24, for 19 example.

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There have been described and illustrated herein several embodiments of methods and apparatus for the endoluminal treatment of gastroesophageal reflux disease. While particular embodiments of the invention have been described, it is not intended that the invention be limited thereto, as it is intended that the invention

- 1 be as broad in scope as the art will allow and that the
- 2 specification be read likewise. It will therefore be appreciated
- 3 by those skilled in the art that yet other modifications could be
- 4 made to the provided invention without deviating from its spirit
- 5 and scope as so claimed.